



1645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert M. Townsend et al. Examiner: Phillip Gambel, Ph.D.
Serial No.: 09/877,987 Group Art Unit: 1645
Filed: June 8, 2001 Docket: D0009NP; 30436.53USU1
Title: METHODS FOR REGULATING A CELL-MEDIATED IMMUNE RESPONSE BY
BLOCKING LYMPHOCYTIC SIGNALS AND BY BLOCKING LFA-1-MEDIATED
ADHESION

CERTIFICATE UNDER 37 CFR 1.8

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By: 
Name: Tracy Truick

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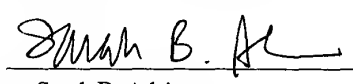
Sir:

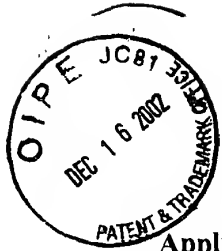
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate under 37 C.F.R. 1.8
- ☒ Supplemental Information Disclosure Statement (37 C.F.R. §1.97(b))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 53-87
- ☒ Return postcard

Please charge any and/or additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert M. Townsend, et al. **Examiner:** Phillip Gambel, Ph.D.
Serial No.: 09/877,987 **Group Art Unit:** 1644
Filed: June 8, 2001 **Docket No.:** D0009NP/30436.53USU1
Title: METHODS FOR REGULATING A CELL-MEDIATED IMMUNE RESPONSE
BY BLOCKING LYMPHOCYTIC SIGNALS AND BY BLOCKING LFA-1
MEDIATED ADHESION

CERTIFICATE UNDER 37 CFR 1.8:

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By: Tracy Truick

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Supplemental Information Disclosure Statement is being filed herewith as a supplement to Applicant's October 26, 2001, Information Disclosure Statement which was submitted under 37 C.F.R. §1.97 (b) before the mailing of a first Office Action on the merits.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- Battleman, David S., et al., 1993 *J. Neurosci.* "HSV-1 Vector-Mediated Gene Transfer of the Human Nerve Growth Factor Receptor P75hNGFR Defines High-Affinity NGF Binding," 13:941-951. (Exhibit 53)

- Bolling, S.F., et al. 1996 *J. Surg. Res.* "The Time course of CTLA4g effect on cardiac allograft rejection," 63:320-323. **(Exhibit 54)**
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- Martz, E., et al. 1985 *Adv.Exp.Med.Biol.* "Lymphocyte function-associated antigens: regulation of lymphocyte adhesions in vitro and immunity in vivo," 184:291-307. **(Exhibit 68)**
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- Jaiswal, Archana I., et al., 1996 *International Immunology* "Regulation of CD40 ligand expression on naïve CD4 T cells: a role for TCR but not co-stimulatory signals," 8:275-285. **(Exhibit 79)**
- Suzuki, Jun-ichi, et al., 1997 *The Journal of Heart and Lung Transplantation* "Inhibition of accelerated coronary atherosclerosis with short-term blockade of intercellular adhesion molecule-1 and lymphocyte function-associated antigen-1 in a heterotopic murine model of heart transplantation," 16:1141-1148. **(Exhibit 80)**
- U.S. Patent No. 5,354,678 issued October 11, 1994 **(Exhibit 81)**
- U.S. Patent No. 5,824,655 issued October 20, 1998 **(Exhibit 82)**
- U.S. Patent No. 6,113,898 issued September 5, 2000 **(Exhibit 83)**
- U.S. Patent No. 6,090,914 issued July 18, 2000 **(Exhibit 84)**
- International Publication No. WO 98/56417 published December 17, 1998 **(Exhibit 85)**
- International Publication No. WO 95/34320 published December 21, 1995 **(Exhibit 86)**
- Greene, J., et al., 1996 *The Journal of Biological. Chem.* "Covalent Dimerization of CD28/CTLA-4 and Oligomerization of CD80/CD86 regulate T cell costimulatory interactions ," 271:26762-26771. **(Exhibit 87)**

Robert M. Townsend, et al.
Serial No. 09/877,987
Filed: June 8, 2001
Page 5

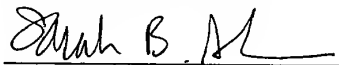
This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. §1.98(a) (2), copies of each document or other information listed on the enclosed Form 1449 are provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-0306.

Respectfully submitted,



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FORM 1449*

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number
D0009NP;30436.53USU1

Application Number
09/877,987

Applicant
Robert M. Townsend et al.

Filing Date
June 8, 2001

Group Art Unit
1645

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,354,678 (Exhibit 81)	October 11, 1994	Lebkowski et al.			December 21, 1992
	5,824,655 (Exhibit 82)	October 20, 1998	Border			February 15, 1995
	6,113,898 (Exhibit 83)	September 5, 2000	Anderson et al.			June 7, 1995
	6,090,914 (Exhibit 84)	July 18, 2000	Linsley et al.			April 15, 1994

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/56417 (Exhibit 85)	December 17, 1998	PCT				X
	WO 95/34320 (Exhibit 86)	December 21, 1995	PCT				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Battleman, David S., et al., 1993 <i>J. Neurosci.</i> "HSV-1 Vector-Mediated Gene Transfer of the Human Nerve Growth Factor Receptor P75hNGFR Defines High-Affinity NGF Binding," 13:941-951. (Exhibit 53)
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number D0009NP;30436.53USU1	Application Number 09/877,987
	Applicant Robert M. Townsend et al.	
	Filing Date June 8, 2001	Group Art Unit 1645

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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